

## A Grey-Box Model for Spray Drying Plants

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**Abstract:** Multi-stage spray drying is an important and widely used unit operation in the production of food powders. In this paper we develop and present a dynamic model of the complete drying process in a multi-stage spray dryer. The dryer is divided into three stages: The spray stage and two fluid bed stages. Each stage is assumed ideally mixed and described by mass- and energy balances. The model is able to predict the temperature, the residual moisture and the particle size in each stage. Process constraints are also proposed to predict deposits due to stickiness of the powder. The model predictions are compared to datasets gathered at GEA Process Engineering's test facility. The identified grey-box model parameters are identified from data and the resulting model fits the data well. The complexity of the model has been selected such that it is suitable for development of real-time optimization algorithms in an economic optimizing MPC framework.

*Keywords:* Drying process, Spray drying, Multi-stage dryer, Grey-box model, Modelling and identification, Maltodextrin, Simulation

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### 1. INTRODUCTION

In 2015 the milk quota system in the European Union will be completely liberalized. The expected effect is that the milk volume production will increase significantly. The extra milk will need to be processed to find its way to the market. Analysts expect production of skimmed and whole milk powder to increase by 5-6% while its prices will decline by about 6-7% (IPTS and EuroCARE GmbH, 2009). To accommodate this production expansion, efficient control and optimization of the spray drying process become increasingly important. In this paper we develop a simple first-principle engineering model that can be used to simulate the spray drying processes and facilitate development of efficient control algorithms.

In this paper a grey-box model will be introduced which is based on engineering first principles. It describes the spray drying (SD), the static fluid bed (SFB) and the vibrating fluid bed (VFB) stages of a multi-stage dryer (MSD) plant as illustrated in Fig. 1. The model is validated against data acquired from a MSD at a test-station in Copenhagen (GEA Process Engineering A/S). The model describes the temperatures, the residual moisture and the particle size in each stage of the spray dryer, as well as the stickiness limit.

Conventional control of spray drying plants keeps inlet- and outlet temperatures constant during operation, as a surrogate to controlling the product quality (O'Callaghan and Cunningham, 2005). This approach is simple, but

known to be insufficient for control of residual moisture and particle size. The simple approach is often preferred, as product quality is expensive to measure and can lead to sanitary problems. One approach to avoid the use of expensive sensors is to use soft sensors based on readily available measurements and a mathematical model. The importance of good models is therefore evident for both use in controllers and soft sensors as well as in design and validation studies.

Chen and Lin (2005) derived a simple set of differential equations for use in computational fluid dynamics (CFD) to describe the drying of a single particle. In this study, it was shown that the characteristic drying rate curve (CDRC) method did not resemble the experimental trends, and therefore they preferred the reaction engineering approach (REA) method. Langrish (2009) used the REA method for CFD with success on studying wall depositions. Shabde and Hoo (2008) investigated control design and optimization using CFD methods for a single-stage spray dryer, and described a (lumped) model and control for the residual moisture and the particle size. We deemed the models relying on CFD too complex for real-time advanced control and real-time dynamic optimization. Bizmark et al. (2010) introduced a sequential static model for a continuous fluidized bed and Iguaz et al. (2003) formulated a sequential reactor approach, which was used to simulate the dynamic response of a rotary dryer to a change in the input conditions. We come up with a three-stage approach inspired by Bizmark et al. (2010) and Iguaz

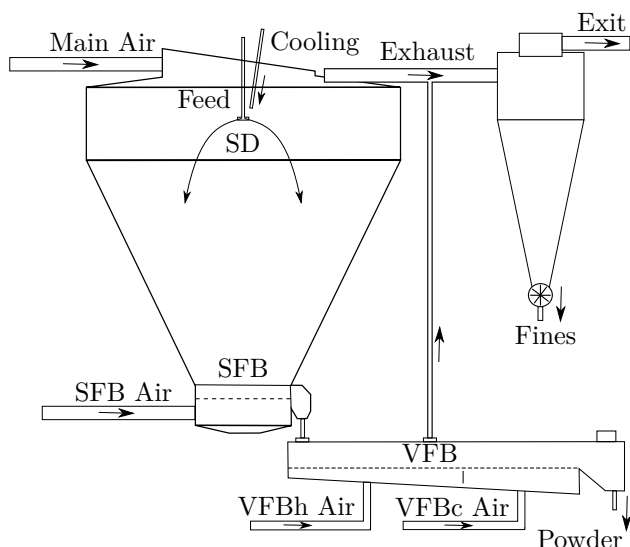


Fig. 1. Diagram of the multi-stage dryer. SD = spray drying, SFB = static fluid bed and VFB = vibrating fluid bed

et al. (2003) and use constitutive equations inspired by Langrish and Kockel (2001).

To our knowledge, no control oriented general dynamic model exist for multi-stage spray dryers. In this work such a general model is proposed.

The paper is organized as follows. In Section 2 we describe the spray drying process and in Section 3 we present the three-stage model. We also give constraints to the residual moisture in order to predict wall deposits. Section 4 contains a brief description of the method for parameter estimation, the estimated parameters, and a validation of the model to data from two experiments. Conclusions are given in Section 5.

## 2. THE SPRAY DRYING PROCESS

As illustrated in Fig. 1, spray drying is a continuous process which produces a dry powder from a liquid or a slurry. The spray dryer consists most often of a combination of three stages; the actual spray drying (SD), the static fluid bed (SFB) and the vibrating fluid bed (VFB). The main purpose of the SD stage is to reduce stickiness and fouling of the wet feed. The powder then falls to the SFB for further agglomeration and drying. Finally the powder is transported to the external VFB, for gentle drying and is cooled to the temperature desired for handling and storage. Cooling air and fines from the cyclone are returned to the SD stage near the nozzles for forced agglomeration.

The main factors affecting the residual moisture in the powder are the temperature, the relative humidity and the particle size in the stages. Normally, the SD air temperature (exhaust temperature) is automatically controlled by adjusting the feed flow rate while the temperatures in the other stages are manually controlled from the inlet air temperatures set by the operator. The particle size is mainly affected by the nozzle pressure (i.e. feed flow, concentration and viscosity), residual moisture and the SFB inlet air flows. The particle size is often controlled by adjusting inlet air flows and the residual moisture

is controlled from the exhaust temperature. Generally, two external disturbances are present in spray drying i.e. the ambient air humidity and the feed composition. The disturbances both affect the residual moisture and the particle size. Also the hold-up of powder in the SFB and VFB can vary and influences the drying.

## 3. MULTI-STAGE DRYER MODEL

The model structure is derived from engineering first principles and the unknown parameters are identified using a least-squares method. This approach, called grey-box modeling (Ljung, 1999), combines physical knowledge with data-based (statistical) modeling; physical knowledge provides the main structure and statistical modeling provides details on the actual coefficients (Kristensen et al., 2004). Compared to statistical black-box models; this is advantageous since it allows a physical interpretation of the model and often wide valid operation range. Utilizing mass and energy conservation laws, also make it possible to extract otherwise unknown drying conditions inside the drying zones.

We divide the model of the dryer into three stages. The SD, the SFB and the VFB stage. Fig. 2 shows a schematic representation of the three-stage model approach, and Fig. 3 describes the details of a single stage.

For each of the stages, we set up two mass balances (Eq. 1 and 4), one energy balance (Eq. 15) and one particle size balance (Eq. 31) in order to fully describe the drying conditions in each stage. In the following, the derivation of the equations for the stages will be treated generally and when necessary a specific stage is noted in the superscript of the equation.

The experiments were based on drying of maltodextrin DE-18, because milk is expensive, cannot be stored in liquid form and its composition is not well defined. Maltodextrin, though, resemble the same fundamental properties as skim milk.

### 3.1 Powder moisture

A mass balance for water in the powder yields

$$\frac{dm_w}{dt} = F_{ps_{in}} X_{in} - F_{ps_{out}} X_{out} - R_w m_{ps} \quad (1)$$

$X$  is the dry basis water concentration (kg water/kg dry solid) in the powder and the flux of evaporating water is  $R_w m_{ps}$ . The hold-up of dry powder is assumed constant, and thus the flow of dry powder entering and leaving is

$$F_{ps_{out}} = F_{ps_{in}} = S_{in} F_{p_{in}} \quad (2)$$

The dry basis concentration of product in- and outlet flows are

$$X_{in} = \frac{1 - S_{in}}{S_{in}} \quad X_{out} = \frac{m_w}{m_p - m_w} \quad (3)$$

where  $S_{in}$  is the concentration (kg solid/kg total) and  $m_w$  is the mass of water.  $m_p$  is the total mass of the powder.

### 3.2 Air moisture

The amount of vapour in the air is given by

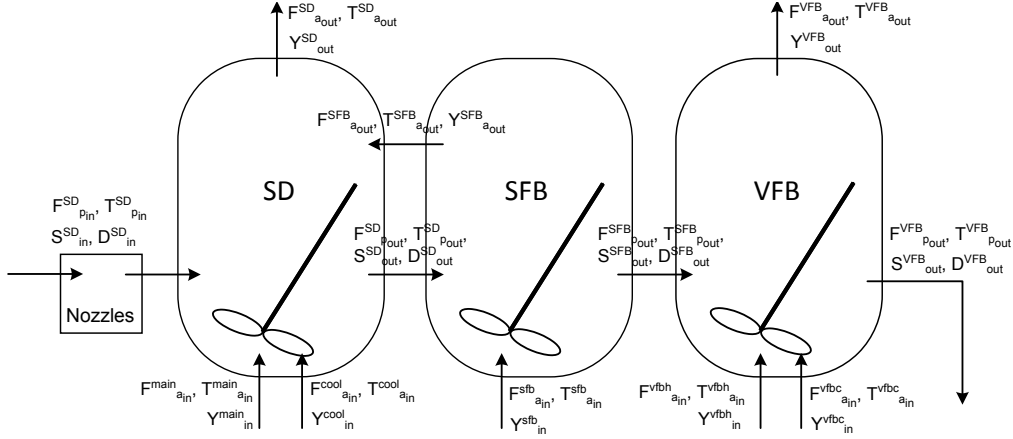


Fig. 2. Principle of the sequential stage model.

$$\frac{dm_v}{dt} = F_{v_{in}} - F_{v_{out}} + R_w m_{ps} \quad (4)$$

The inlet vapour flow,  $F_{v_{in}}$ , for each stage is

$$F_{v_{in}}^{SD} = Y_{in}^{main} F_{da_{in}}^{main} + Y_{in}^{cool} F_{da_{in}}^{cool} + Y_{out}^{SFB} F_{da_{out}}^{SFB} \quad (5)$$

$$F_{v_{in}}^{SFB} = Y_{in}^{sfb} F_{da_{in}}^{sfb} \quad (6)$$

$$F_{v_{in}}^{VFB} = Y_{in}^{vfbh} F_{da_{in}}^{vfbh} + Y_{in}^{vbc} F_{da_{in}}^{vbc} \quad (7)$$

The flow of dry air,  $F_{da_{in}}$ , is given by

$$F_{da_{in}} = \frac{1}{Y_{in} + 1} F_{a_{in}} \quad (8)$$

and the absolute humidity,  $Y_{in}$ , of the incoming air is

$$Y_{in} = \frac{M_v}{M_{da}} \frac{RH_{in} P_{vsat}}{P_{in} - RH_{in} P_{vsat}} \quad (9)$$

$RH_{in}$  is the relative humidity and  $P_{vsat}$  is the saturated vapour pressure from the Antoine equation.

The hold-up of dry air is assumed constant, and thus the dry air flow out of the chamber is equal to the dry air flow into the chamber. The flow of vapour out of the stages is

$$F_{v_{out}}^{SD} = Y_{out}^{SD} (F_{da_{in}}^{main} + F_{da_{in}}^{cool} + F_{da_{out}}^{SFB}) \quad (10)$$

$$F_{v_{out}}^{SFB} = Y_{out}^{SFB} F_{da_{in}}^{sfb} \quad (11)$$

$$F_{v_{out}}^{VFB} = Y_{out}^{VFB} (F_{da_{in}}^{vfbh} + F_{da_{in}}^{vbc}) \quad (12)$$

The absolute humidity of the stage air,  $Y_{out}$ , is given by

$$Y_{out} = \frac{M_{da}}{M_v} \frac{P - P_v}{P_v} \quad (13)$$

Assuming constant total chamber pressure,  $P$ , which is controlled by a suction fan. The vapour pressure is given by the ideal gas law

$$P_v = \frac{M_v R T_{a_{out}}}{m_v V} \quad (14)$$

$M_v$  is the molar mass of vapour.  $V$  is the stage air volume.

### 3.3 Energy balance

It is assumed that in each stage the temperature of the air and the product are in equilibrium and identical. This temperature is defined by an energy balance

$$\frac{dU}{dt} = \Delta H + Q + W \quad (15)$$

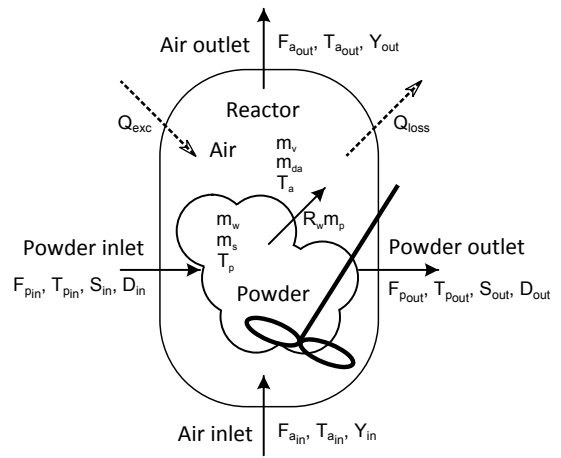


Fig. 3. Sketch of a single stage.

where  $W = 0$  and

$$\Delta H = H_{a_{in}} - H_{a_{out}} + H_{p_{in}} - H_{p_{out}} \quad (16)$$

$$Q = -Q_{loss} + Q_{exc} \quad (17)$$

The enthalpy of humid air is

$$H_a = H_{a_0} + F_{da} (C_{da} (T_a - T_{ref}) + Y (\lambda + C_v (T_a - T_{ref}))) \quad (18)$$

where  $C_{da}$  and  $C_v$  is the specific heat capacity of dry air and vapour respectively.  $\lambda$  is the latent heat of evaporation and  $T_{ref} = 25^\circ C$  is the reference temperature.

The enthalpy increase from inlet air in each stage is

$$H_{a_{in}}^{SD} = H_{a_{in}}^{main} + H_{a_{in}}^{cool} + H_{a_{out}}^{SFB} \quad (19)$$

$$H_{a_{in}}^{SFB} = H_{a_{in}}^{sfb} \quad (20)$$

$$H_{a_{in}}^{VFB} = H_{a_{in}}^{vfbh} + H_{a_{in}}^{vbc} \quad (21)$$

The enthalpy of humid air leaving the stages is simply determined from (18). We denote the enthalpies  $H_{a_{out}}^{SD}$ ,  $H_{a_{out}}^{SFB}$  and  $H_{a_{out}}^{VFB}$ .

The enthalpy of liquid feed as well as powder is

$$H_p = H_{p_0} + F_{ps} (C_s + X C_w) (T_p - T_{ref}) \quad (22)$$

where  $C_s$  and  $C_w$  is the specific heat capacity of solids and water respectively.

The heat loss is given by

$$Q_{loss} = UA(T_{a_{out}} - T_{indoor}) \quad (23)$$

Since the indoor temperature was not measured, we approximate the indoor temperature by  $T_{v_{fbc}}$ .

The SD and SFB stage is subject to exchange of heat, as these are placed inside the same chamber. The heat exchange is

$$Q_{exc}^{SD} = -UA_{exc}(T_{a_{out}}^{SD} - T_{a_{out}}^{SFB}) \quad (24)$$

$$Q_{exc}^{SFB} = UA_{exc}(T_{a_{out}}^{SD} - T_{a_{out}}^{SFB}) \quad (25)$$

The VFB is isolated from the other stages, and thus have zero heat exchange i.e.  $Q_{exc}^{VFB} = 0$ .

The total energy is given by

$$U = m_p C_p T_{p_{out}} + m_{da} C_{da} T_{a_{out}} + m_v C_{av} T_{a_{out}} + m_{steel} C_{steel} T_{a_{out}} \quad (26)$$

As mentioned the temperature of the air in the chamber,  $T_{a_{out}}$  equals  $T_{p_{out}}$ . The heat capacities are placed in appendix A. In this we assume that the temperature of the steel chamber changes reasonably fast compared to the air temperature. The mass of steel is determined by assuming 3 mm of steel. i.e.  $m_{steel}^{SD} = 212.22$  kg,  $m_{steel}^{SFB} = 7.80$  kg and  $m_{steel}^{VFB} = 8.10$  kg.

### 3.4 Drying rate equation

The evaporation rate,  $R_w$ , is an essential part of the model. According to Iguaz et al. (2003) it should include equilibrium moisture content data and must be determined experimentally under conditions as close as possible to those of the process. We find that the drying kinetics is best described by the lumped-parameter expression (Langrish and Kockel, 2001; Chen and Lin, 2005). Thus, the drying rate is a function of vapour density and the moisture content. The drying rate may be expressed as

$$R_w = K_1(X_{out} - X_{eq})(\rho_{vsat} - \rho_v) \quad (27)$$

where

$$\rho_{vsat} = \frac{M_v P_{vsat}}{RT_{p_{out}}} \quad \rho_v = \frac{M_v P_v}{RT_{a_{out}}} \quad (28)$$

The last term of (27),  $\rho_{vsat} - \rho_v$ , describes the driving force of evaporation from the particles to the air, assuming that the surface of the particle is completely covered in water. To describe the friction of evaporation we introduce the term of free moisture,  $X_{out} - X_{eq}$ . The term decreases towards zero as the residual moisture get closer to the equilibrium moisture content. The constant  $K_1$  corrects for un-modelled effects, such as relative speed between air and particle and other phenomena affecting drying. These phenomena are practically impossible to model without taking a CFD approach. It is, furthermore, necessary to multiply  $R_w$  by  $F_{pin}^{SD}/0.0241$  in the SD stage in order to correctly render variations in the feed flow.

The equilibrium moisture content,  $X_{eq}$ , is described by

$$X_{eq} = A \left( \frac{RH_{a_{out}}}{1 - RH_{a_{out}}} \right)^B \left( \frac{1}{T_{a_{out}} - 273.15} \right)^C \quad (29)$$

$X_{eq}$  is a product dependent function that describe the moisture content where water cannot be extracted from the powder any longer. As the moisture content approaches this value the friction of extracting water from the particles increase to infinite. In theory the moisture content can only be obtained by infinite residence time.

Woo et al. (2008), shows that  $A = 1.2098$ ,  $B = 0.8535$  and  $C = 0.5962$  can be used for maltodextrin DE-18.

### 3.5 Particle size

The droplets can be produced by either a rotating atomizer or a nozzle. We use a nozzle and the particle size can be described by

$$D_{in}^{SD} = D_0 + a(F_{pin}^{SD} - F_{p0}) + b(T_{pin}^{SD} - T_{p0}) + c(S_{in}^{SD} - S_0) \quad (30)$$

The constants have been manually fitted to

$$\begin{array}{lll} a = 4000 & b = -10.1 & c = -600 \\ F_{p0} = 0.021921 & T_{p0} = 326.35 & S_0 = 0.5 \end{array}$$

The sprayed droplets are subject to shrinkage during drying. If it is assumed that the particles are perfectly spherical before and after the drying and only water is evaporating, we can derive a differential equation for the resulting size of the particles. For each stage we get

$$\dot{D}_{out} = \frac{1}{\tau} \left( \left( \frac{X_{out} + 1}{X_{in} + 1} \cdot \frac{\rho_{pin}}{\rho_{pout}} \right)^{\frac{1}{3}} D_{in} + K_{ag}(F_a - F_{a0}) - D_{out} \right) \quad (31)$$

Agglomeration is generally difficult to describe and we simply add a term proportional to the air flow rate of the stage. The parameters are

$$\begin{array}{lll} \tau^{SD} = 400 & \tau^{SFB} = 200 & \tau^{VFB} = 100 \\ K_{ag}^{SD} = 100 & K_{ag}^{SFB} = 300 & K_{ag}^{VFB} = 200 \\ F_{a0}^{SD} = 0.49944 & F_{a0}^{SFB} = 0.14167 & F_{a0}^{VFB} = 0.18046 \end{array}$$

### 3.6 Stickiness

Stickiness of the produced particles is an important limitation to the achievable performance of the MSD. Sticky particles form depositions on the walls of the spray dryer. Stickiness has been found to depend on product temperature and moisture content. Furthermore, the transition from sticky to non-sticky takes place very quickly, thus we can assume it to have binary state. We will use a mass-proportion-mixing rule, as proposed by (Hennigs et al., 2001; Hogan et al., 2010), to describe the non-sticky region i.e. when the powder is below its glass transition temperature.

$$T_g = \frac{S_{out} T_{gp} + k(1 - S_{out}) T_{gw}}{S_{out} + k(1 - S_{out})} \quad (32)$$

$T_{gp} = 144.8^\circ C$  and  $T_{gw} = -137^\circ C$  for maltodextrin DE-18 and water respectively. The value  $k = 6.296$  is estimated from adsorption isotherm data.

The data of the experiment is produced by studying the stickiness of the powder in an equilibrium state. Meaning that the moisture at the surface is equal to that of the core of the particle. This situation is not present in spray drying, where the rapid evaporation from the surface tend to form a particle with a crisper surface than the core. In practice this means that  $T_g$  is higher i.e. that the powder is less sticky inside the spray dryer. To compensate for this, we form a correction term

$$T_{max} = T_g + \Delta T_{adj} \quad (33)$$

The offset depends on the design of the dryer and unknown factors. Normally it is in the range of 10 to 60°C (Hogan



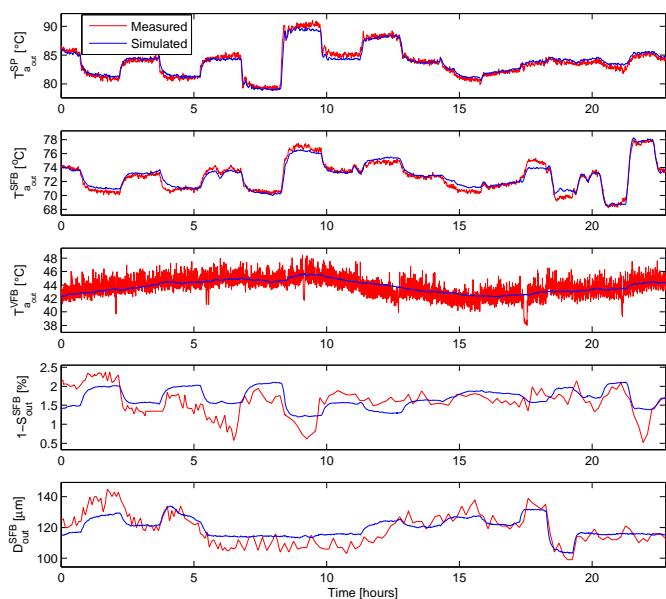


Fig. 4. The estimation dataset.  $S_{out}^{SFB}$  and  $D_{out}^{SFB}$  are sampled by hand resulting in a low sample frequency.

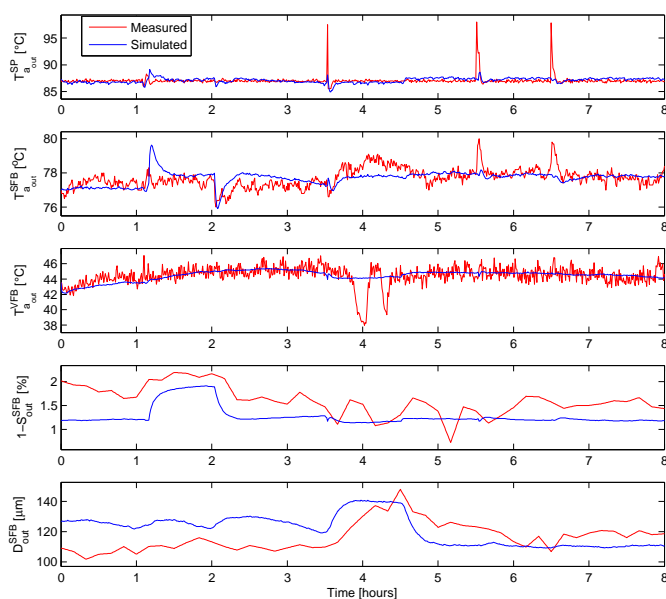


Fig. 5. The validation dataset. The three temperatures are offset corrected. The temperature peak ( $t = 3.5$ ) is due to change of feed tank and the peak at  $t = 5.5$  and  $6.5$  is due to change of nozzle.

## 5. CONCLUSIONS

In this paper, a mathematical model is proposed for a multi-stage spray dryer. We established a dynamic model consisting of three stages; the actual spray drying (SD), the static fluid bed (SFB) and the vibrating fluid bed (VFB). The stages were described by the same constitutive equation. The model predicts the temperatures within the dryer with high accuracy. The residual moisture and the particle size of the powder is predicted with some expected uncertainty, due to unstable discharge of powder from the SFB stage and varying indoor temperature. We also provided a novel prediction for stickiness of the powder, as

a function of powder temperature and residual moisture. The model is general in the sense, that it can be adjusted to describe the drying of any liquid or slurry in a multi-stage spray dryer.

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## Appendix A. PRODUCT RELATED CONSTANTS

The latent heat of evaporation for water is  $\lambda = 2260$  KJ. The heat capacity of dry air, vapour, solid maltodextrin DE-18 and water is

$$C_{da} = 1008.6 \quad C_v = 1883.6$$

$$C_s = 1548.8 + 1.9625T - 5.9399 \cdot 10^{-3}T^2$$

$$C_w = 4176.2 - 0.0909T - 1.3129 \cdot 10^{-3}T^2$$