

## Funnel control for oxygenation during artificial ventilation therapy

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**Abstract:** A patient with hypoxia requires immediate oxygen therapy to enhance oxygenation. Individual model including disease and parameter variation is needed, which limits all model-based approaches for the task of reference tracking control. In this article, a non-identifier based control algorithm, called funnel control, is proposed to solve this clinical problem in real practice. With this control technique, it requires less a priori knowledge of the complicated nonlinear and time-delay plant. The design of a funnel controller relies only upon an output feedback and the difficult control problem of such system is simplified by the design of a funnel boundary, which is a time-varying gain to force error dynamics inside this boundary. Funnel controllers with relative degree one and two are presented and simulated with the proposed cardiopulmonary system for low tidal volume, simulating the existing and abrupt change of atelectasis.

**Keywords:** funnel control, mathematical model of cardiopulmonary system, oxygenation, closed-loop control, oxygen therapy, biomedical control systems.

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### 1. INTRODUCTION

In respiratory care, oxygenation is one of the most important parameter during ventilation therapy because oxygen ( $O_2$ ) is necessary for cell metabolism and tissues. For instance, heart or brain requires sufficient oxygen to maintain their functions. Thereby, severe hypoxia or a condition in which oxygen levels in arterial blood are low should be avoided in clinical practice, otherwise the result may be multiple organ failure and mortality (Zambon and Vincent, 2008). Typically, oxygen therapy is required to recover the critical situation by increasing the fraction of inspired oxygen concentration ( $FiO_2$ ) for the subject (Claire and Bancalari, 2013), which is considered as a control input in this context. To assess oxygenation, arterial oxygen tension ( $PaO_2$ ) and arterial oxygen saturation ( $SaO_2$ ) are two possible parameters (Pierson, 2013). However,  $SaO_2$  is chosen to be a controlled variable because of its availability to be continuously measured in real time, while  $PaO_2$  can only be measured by blood gas analysis (BGA), which can be done only by blood samples and is limited by total allowable amount of blood samples to be taken. In this article, the automatic control design algorithm to manage hypoxia is based on a single-input single-output (SISO) system for ventilation system using oxygen therapy.

To facilitate the controller design for this particular application, a mathematical model of the complex cardiopulmonary system is required, in order to represent the hypoxia behaviour of the human system and to evaluate the control performance. The selected model (Fincham and Tehrani, 1983a,b) expresses gas exchange, gas transport and gas storage system using differential equations based on mass balance principles. The dynamic characteristics of the system

include transient and steady state solution of  $O_2$  and  $CO_2$ . However, only the model for  $O_2$  is of concern in context of this paper. Due to oxygen therapy,  $O_2$  will be supplied to a critically ill patient by a mechanical ventilator with adjustable  $FiO_2$ . The simulated cardiopulmonary model is based on the periodic breathing applied to the lung compartment and gas is transported by the circulating blood to other compartments, for example brain and body including transport delay. This model will be used to simulate patient dynamics under hypoxia. The aim of this work is to design a funnel controller to recover the critical situation using oxygen ventilation therapy and it should be optimized the oxygen supply in order to avoid  $O_2$  toxicity that may be triggered (Clark, 1974).

Funnel control is a non-identifier-based adaptive control with no requirement of an identification mechanism for the plant model, proposed in 2002 (Ilchmann *et al.*, 2002). The exact knowledge of the system parameters is not required (Mandalaju and Trenn, 2006). The control objective is to asymptotically regulate the output of the system, which is the oxygenation measured by  $SaO_2$  in this context and small output error is often allowed (Bullinger and Allgöwer, 2005). This control algorithm also guarantees transient performance, so that the evolution of the tracking error should be within a prescribed performance funnel (Ilchmann *et al.*, 2004). Funnel control is applicable to a system with relative degree one and two (Hackl *et al.*, 2013), stable zero dynamics and positive high-frequency gain. All states of the closed-loop system are bound by the output regulation using the funnel controller (Ilchmann and Mueller, 2009a). The control scheme has been successfully implemented in various applications, for instance speed and position control of a rotary system with unknown friction and load disturbances

(Hackl *et al.*, 2011). In our medical application, additional oxygen molecules given to the subject usually bind to haemoglobin that lacks of oxygen molecule. An increase in oxygen concentration leads to an increase in SaO<sub>2</sub>. The nature of this system is globally minimum phase and has stable zero dynamics. Thus, funnel control is suitable for this application.

This contribution is organized as follows. It begins with the mathematical model describing oxygen dynamics in section 2 to provide the background for this particular system and the simulation result for hypoxia, followed by a design of funnel control for this critical situation in section 3. Simulation results for the evaluation of control performance and a discussion are given in section 4 and 5, respectively. The article ends with the conclusion in section 6.

## 2. SYSTEM MODELLING

### 2.1 Modelling of the cardiopulmonary system

The development of mathematical models for the cardiopulmonary system has a long history and dates back to the 1960 (Defares *et al.*, 1960). The selected and optimized model structure for a human undergoing hypoxia, shown in Fig. 1, is based on a three-compartment model consisting of lungs, brain and lumped body tissue (Fincham and Tehrani, 1983a). The system additionally comprises a cardiac output controller and a brain blood flow controller to identify the cardiac flow (Q<sub>C</sub>) and the brain blood flow (Q<sub>B</sub>), respectively.

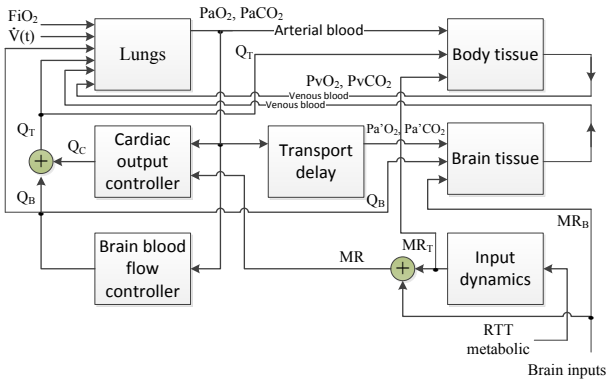


Fig. 1. Block diagram of the cardiopulmonary system using three-compartment model.

### Lung compartment

Based on mass balance equation of eq. (1),  $C_{VT}O_2$ ,  $C_{VB}O_2$  and  $C_aO_2$  denote oxygen volume concentration of venous body tissue compartment, of venous brain compartment and of arterial vessel with the unit of l(STPD)/l, respectively.  $Q_T$  is total blood flow (l/s), which is a combination of  $Q_B$  and  $Q_C$ .  $V(t)$  expresses the dynamics of tidal volume in litre (l).  $P_AO_2$  represents alveolar O<sub>2</sub> tension (mmHg).  $P_b - 47$  is the barometric pressure minus water vapour pressure at body temperature (mmHg).

$$\frac{dP_AO_2}{dt} = \frac{P_b - 47}{V(t)} \cdot [K1 - (C_{VT}O_2 - C_aO_2)Q_T - (C_{VB}O_2 - C_aO_2)Q_B] \quad (1)$$

$$\text{for inspiration } \left(\frac{dV(t)}{dt} \geq 0\right), K1 = \frac{(P_iO_2 - P_AO_2) \cdot dV(t)}{P_b - 47 \cdot dt}$$

$$\text{and for expiration } \left(\frac{dV(t)}{dt} < 0\right), K1 = 0,$$

The assumption for alveolar O<sub>2</sub> tension ( $P_AO_2$ ) is made based on  $P_AO_2 = P_aO_2 + 4$  in mmHg representing a relationship of blood-gas interface, where  $P_aO_2$  represents arterial O<sub>2</sub> tension and  $P_iO_2$  is inspired oxygen tension.

Therefore, the value of  $P_aO_2$  can be evaluated at any particular time of breathing. To compute O<sub>2</sub> tension at any points of measurement, the blood gas relationship is used to convert volume concentration of O<sub>2</sub> in the blood ( $C_{O_2}$ ) [l(STPD)/l] to O<sub>2</sub> tension ( $PO_2$ ), provided in eq. (2).

$$C_{O_2} = 0.2 \cdot (1 - e^{-0.046 \cdot PO_2})^2 \quad (2)$$

Subsequently, arterial oxygen saturation ( $S_aO_2$ ) can be estimated by the relationship providing in eq. (3) (Fincham and Tehrani, 1983b), while  $S_aO_2$  has a unit in percent (%).

$$S_aO_2 = (1 - e^{-0.046 \times PaO_2})^2 \times 100\% \quad (3)$$

This relationship contributes to nonlinear behavior of the cardiopulmonary system for the control output  $S_aO_2$ .

### Lumped body tissue compartment

A mass balance eq. (4) describes the mathematical relationship of oxygen concentration at the body tissue compartment.

$$\frac{dC_T O_2}{dt} = -\frac{1}{S_T} [(C_{VT}O_2 - C_aO_2) \cdot Q_T + MR_T O_2], \quad (4)$$

where  $MR_T O_2$ ,  $S_T$  and  $C_T O_2$  denote metabolic rate in body tissue for O<sub>2</sub> (0.00352 l/s), equivalent gas storage space at tissue (50 l) and O<sub>2</sub> volume concentration at body tissue.

### Brain tissue compartment

Similarly, eq. (5) expresses the relationship of oxygen concentration at the brain tissue compartment.

$$\frac{dC_B O_2}{dt} = -\frac{1}{S_B} [(C_{VB}O_2 - C'_aO_2) \cdot Q_B + MR_B O_2], \quad (5)$$

where  $C'_aO_2$ ,  $MR_B O_2$ ,  $S_B$  and  $C_B O_2$  represent volume concentration of O<sub>2</sub> in the arterial blood with a time-delay, metabolic rate in brain for O<sub>2</sub> (0.000925 l/s), equivalent gas storage space at brain (1.1 l) and O<sub>2</sub> volume concentration at brain, respectively.

### Input dynamics

An increase in the level of exercise can be simulated by adapting a step change at the RTT metabolic input, standing for the magnitude of the step defining the final value of  $MR_T$ . The tissue metabolism  $MR_T$  is shaped by eq. (6).

$$\frac{d(MR_T)}{dt} = \frac{RTT - MR_T}{\tau}, \quad (6)$$

where  $\tau$  denotes exercise metabolism dynamic (30 s). Brain input or brain tissue metabolism ( $MR_B$ ) is assumed to be constant for O<sub>2</sub> (0.000925 l/s) and RTT is the magnitude of the step denoting the final value of  $MR_T$ . Therefore, the total body metabolism  $MR$  can be expressed by the sum of tissue

and brain metabolism.

$$MR = MR_T + MR_B \quad (7)$$

Eq. (7) is used to define the metabolic rate ratio MRR.

$$MRR = \frac{MR}{\text{basal level of } MR}, \quad (8)$$

where basal  $O_2$  level of  $MR = 0.004445$  l/s.

### Transport delay

Arterial blood gas tension is assumed to be delayed by 10 s at the brain tissue (Fincham and Tehrani, 1983a).  $P_a'O_2$  and  $P_a'CO_2$  symbolize arterial gas tension with time delay for the brain compartment.

### Cardiac output controller

Fincham and Tehrani (1983b) modelled cardiac flow by the algebraic sum of Chebyshev polynomials ( $T_j(\bar{X}_l)$ ) of the first kind of degree  $j$  in terms of  $PaO_2$ ,  $PaCO_2$  and metabolic rate ratio MRR.

$$Q_C = E[Q_C] + Q_{C1} + Q_{C2} + Q_{C3}, \quad (9)$$

where  $E[Q_C] = 0.08333$  l/s. The details of how to compute  $Q_{Ci}$  ( $i \in \{1,2,3\}$ ) are given in Fincham and Tehrani, 1983b. For instance,  $Q_{C1}$  can be computed as

$$Q_{C1} = \begin{cases} 10^{-5} \cdot \sum_{j=0}^5 A_j T_j(\bar{X}_l) & , \text{ for } 25 \leq PaO_2 < 95, \\ 0 & , \text{ for } PaO_2 \geq 95, \end{cases} \quad (10)$$

where  $A_0 = 2445.1$ ,  $A_1 = -3233.3$ ,  $A_2 = 1050.4$ ,  $A_3 = -143.5$ ,  $A_4 = A_5 = 0$ ,  $\bar{X}_1 = \frac{2PaO_2 - 115}{65}$  and chebyshev polynomials

$$T_0(x) = 1, T_1(x) = x, T_2(x) = 2x^2 - 1, T_3(x) = 4x^3 - 3x, T_4(x) = 8x^4 - 8x^2 + 1 \text{ and } T_5(x) = 16x^5 - 20x^3 + 5x.$$

Similarly,  $Q_{C2}$  and  $Q_{C3}$  can be calculated by different definitions of  $A_j$ ,  $\bar{X}_2$  and  $\bar{X}_3$ .

### Brain blood flow controller

Similar to the cardiac flow, brain blood flow can be expressed with the algebraic sum of Chebyshev polynomials ( $T_j(\bar{X}_l)$ ) in terms of  $PaO_2$  and  $PaCO_2$ .

$$Q_B = E[Q_B] + Q_{B1} + Q_{B2} + Q_{B3}, \quad (11)$$

where  $E[Q_B] = 0.0125$  l/s. The details for a computation of  $Q_{Bi}$  ( $i \in \{1,2,3\}$ ) are listed in Fincham and Tehrani, 1983b.

### 2.2 Simulation results of the cardiopulmonary model

The dynamics of oxygenation consist of a fourth-order non-linear model with transport delay. The transient response of hypoxia was simulated by a step input changing from 21% to 9% oxygen breathing between 20 and 40 min and air breathing (21%) for other simulation time with the results given in Fig. 2.

A normal tidal volume of 0.25 l with a functional residual capacity of 2.2 l, inspiratory to expiratory ratio (I:E) of 1:1, respiratory rate of 15 bpm and  $CO_2$  in the air for 0.0387% were set for this simulation. Once the system is introduced by  $FiO_2 = 0.09$  or 9%, the response is under hypoxia condition.  $PaO_2$  and  $SaO_2$  fall rapidly within 100 s. When hypoxia prolongs for 20 min,  $PaO_2$  and  $SaO_2$  continuously decrease to a severe condition of  $SaO_2 = 60\%$ . After re-exposure to air of 21% of oxygen concentration for 20 min, oxygenation returns to its normal value.

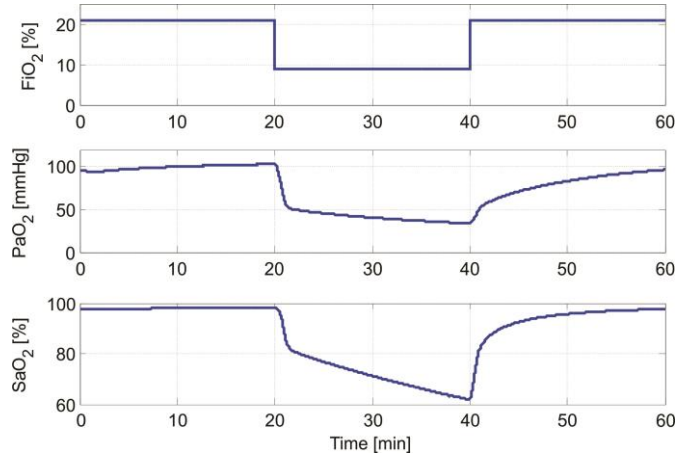


Fig. 2. Hypoxia response of the cardiopulmonary system with  $FiO_2 = 9\%$  during time between 20 and 40 min.

Next, a clinical scenario for atelectasis or loss of lung volume was simulated by introducing only one lung ventilation (a tidal volume of 0.125 l) and the result of simulation is shown in Fig. 3. For time  $< 20$  min,  $PaO_2$  and  $SaO_2$  gradually decrease and poor oxygenation can be observed, even though  $FiO_2$  is set at 21%. During the time in between 20 and 40 min,  $FiO_2$  is increased to 100%, 75% and 50% of different operating points in order to compare the responses. Improved oxygenation for both  $PaO_2$  and  $SaO_2$  can be achieved with different dynamics.

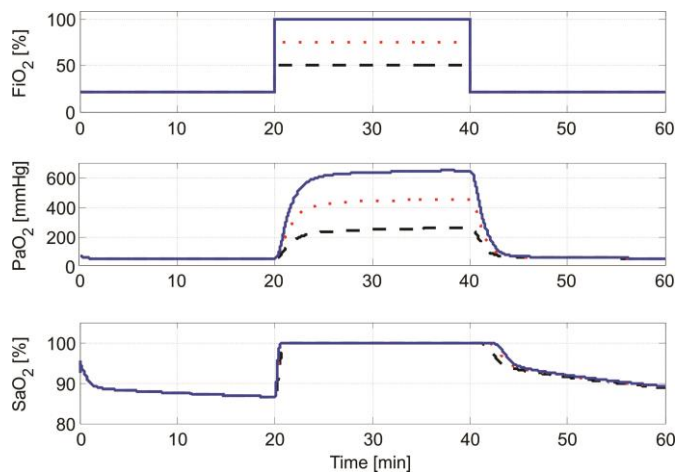


Fig. 3. Hypoxia response due to tidal volume of 0.125 l using oxygen therapy for  $FiO_2 = 100\%$ , 75% and 50% during time between 20 and 40 min.

After the time  $> 40$  min, fresh air of  $FiO_2 = 21\%$  is exposed to the model of atelectasis with low tidal volume (0.125 l).

The system is under hypoxia condition again. Therefore, this model is suitable for further design of funnel controller with the objective to control  $SaO_2$ . The control input  $FiO_2$  shall be used to regulate the controlled output of  $SaO_2$ , which is regarded as a single-input single-output (SISO) system with nonlinear and time-delay and this therapeutic approach is called “oxygen therapy”.

### 3. CONTROL SYSTEM DESIGN

#### 3.1 Funnel control design with relative degree one

Funnel control is applicable to a wide class of systems described by functional differential equations (Ilchmann and Mueller, 2009b). A design of a funnel control system requires less a priori knowledge of the complicated plant with various possible properties including infinite-dimensional, nonlinear, time delay, hysteresis or chaotic behaviour (Ilchmann *et al.*, 2002). To implement the control of oxygenation, a control structure of funnel control system is provided in Fig. 4.

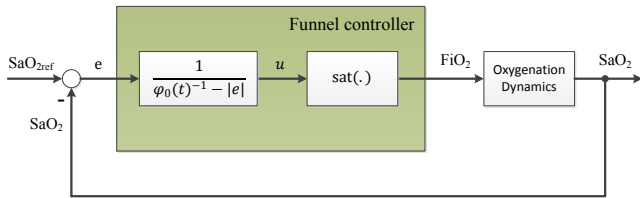


Fig. 4. Block diagram of funnel controller of relative degree one with saturation for oxygenation dynamics.  $FiO_2$  is generated by a saturation limitation

$$FiO_2(t) = sat(u(t)), \quad (12)$$

$$where \ sat(u) = \begin{cases} 0.21 & for \ u \leq 0.21 \\ u & for \ 0.21 \leq u \leq 1 \text{ in order} \\ 1 & for \ u > 1 \end{cases}$$

to cope with a limitation for the fraction of inspired oxygen concentration ( $FiO_2$ ) in between 0.21 and 1 or from fresh air (21%) to pure oxygen of 100 %. The controlled signal computed from the funnel controller with relative degree of one is given as follows.

$$u(t) = k_0(t) \cdot e(t), \quad (13)$$

where  $e(t) = SaO_{2ref} - SaO_2$  and  $k_0(t) = \frac{1}{\varphi_0(t)^{-1} - |e|}$ , which is a time-varying non-monotonic gain.

The funnel boundaries ( $F_{\varphi_0}(t) = \varphi_0(t)^{-1}$ ) restricts the error to evolve inside the funnel boundary given in a set of  $\{(t,e) \in R_{\geq 0} \times R : |e| < \varphi_0(t)^{-1}\}$ . High feedback gain is required whenever  $|e|$  is close to the funnel boundaries  $F_{\varphi_0}(t)$ . The inverse of vertical distances of  $\varphi_0(t)^{-1} - |e|$  is used to compute the gain  $k_0(t)$ .

To design the funnel boundary for  $e(t)$ ,  $\varphi_0(t)$  is defined as  $\varphi_0(t) = \frac{0.6 \cdot t}{1.2 \cdot t + 100}$ , such that the initial  $e(0)$  is within 100 and  $\lambda_0 = \lim_{t \rightarrow \infty} \varphi_0(t)^{-1} = 2$ , which determines the upper bound of the funnel boundary at  $t \rightarrow \infty$ . The dynamical response can be designed by the change of coefficients in  $\varphi_0(t)$ . The tracking error is ultimately bounded by  $\lambda_0$ . There are a number of options to design the funnel boundary. For

instance, the funnel boundary in the form of exponential function (Hackl *et al.*, 2007) can be defined with  $\varphi_0(t)^{-1} = 98 \cdot e^{(-\frac{t}{30})} + 2$ , so that the initial  $e(0)$  is within 100 and  $\lambda_0 = \lim_{t \rightarrow \infty} \varphi_0(t)^{-1} = 2$  similar to the hyperbolic function. The evaluation of these two different nonlinear boundary will be given in the next section for the simulation result of funnel controller with relative degree one.

#### 3.2 Funnel control design with relative degree two

The extension of funnel controller for relative degree two was recently presented by Hackl *et al.*, 2013. The block diagram of a funnel controller with relative degree two is shown in Fig. 5. Eq. (12) is also applied for this control configuration.

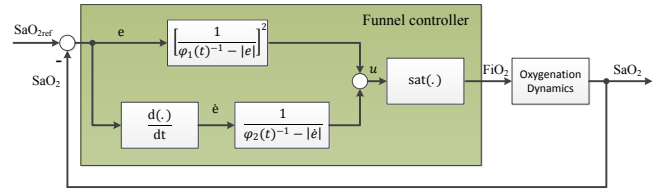


Fig. 5. Block diagram of funnel controller of relative degree two with saturation for oxygenation dynamics.

The controlled signal computed from the funnel controller with relative degree of two is given in eq. (14).

$$u(t) = k_1(t) \cdot e(t) + k_2(t) \cdot \dot{e}(t), \quad (14)$$

where  $k_1(t) = \left[ \frac{1}{\varphi_1(t)^{-1} - |e|} \right]^2$ , and  $k_2(t) = \frac{1}{\varphi_2(t)^{-1} - |\dot{e}|}$ . These non-monotonic gains are time-varying..

The control algorithm is based on output feedback and all signals are guaranteed to be bounded with a number of prerequisites (Ilchmann *et al.*, 2002; Hackl *et al.*, 2013):

- (i) a system with relative degree two
- (ii) stable zero dynamics or minimum phase
- (iii) positive high-frequency gain.

The funnel boundaries ( $F_{\varphi_1}(t) = \varphi_1(t)^{-1}$  and  $F_{\varphi_2}(t) = \varphi_2(t)^{-1}$ ) restricts  $e(t)$  and  $\dot{e}(t)$  to evolve inside the funnel. High feedback gains are required whenever  $|e|$  or  $|\dot{e}|$  are close to the funnel boundaries  $F_{\varphi_1}(t)$  and  $F_{\varphi_2}(t)$ , respectively. The inverse of vertical distances of  $(\varphi_1(t)^{-1} - |e|)^2$  and  $(\varphi_2(t)^{-1} - |\dot{e}|)$  are therefore used to compute the time-varying gains  $k_1(t)$  and  $k_2(t)$ . With this approach, more aggressive gains can be assigned to system if  $e(t)$  and  $\dot{e}(t)$  get close to the boundaries.

The control of the cardiopulmonary dynamics under hypoxia can be achieved by the simple design of funnel boundaries with no need for the precise model of individual patient. It will ensure that  $e(t)$  and  $\dot{e}(t)$  lie within the prescribed funnel radii. However, a perfect reference tracking cannot be satisfied by using this control strategy. For the control of oxygenation, the goal is to keep  $SaO_2$  in a clinical acceptable range of oxygenation, for example  $SaO_2 > 95\%$ , with an optimal supply of oxygen to avoid oxygen toxicity.

To design the funnel boundaries for  $e(t)$  and  $\dot{e}(t)$ , the initial errors have to lie inside the funnel boundaries. Therefore,



$\varphi_1(t) = \frac{0.6 \cdot t}{1.2 \cdot t + 100}$  is defined similar to the case of relative degree one. Additionally,  $\varphi_2(t) = \frac{t}{0.01 \cdot t + 1.5}$  determines that the initial  $\dot{e}(0)$  lies inside 1.5 and  $\lambda_2 = \lim_{t \rightarrow \infty} \varphi_2(t)^{-1} = 0.01$ . The dynamical response can be designed by the change of coefficients in  $\varphi_1(t)$  and  $\varphi_2(t)$ . The tracking error and its error derivative are ultimately bounded by  $\lambda_1 = \lim_{t \rightarrow \infty} \varphi_1(t)^{-1} = 2$  and  $\lambda_2$ , respectively.

#### 4. SIMULATION RESULTS

##### 4.1 Funnel control performance with relative degree one

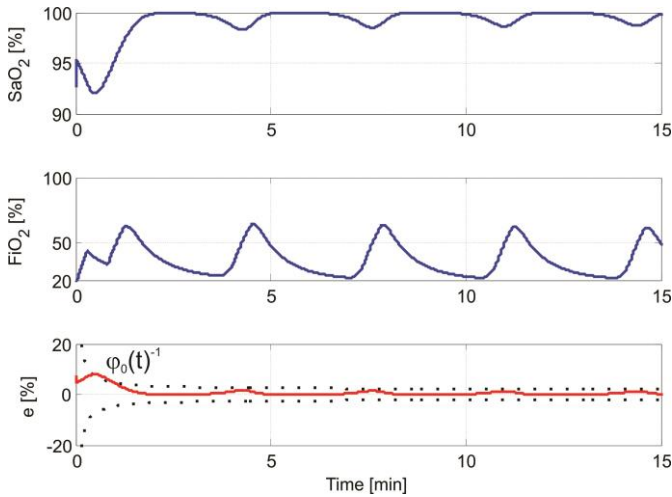


Fig. 6. Dynamical response of funnel control with relative degree one using hyperbolic funnel boundary.

The simulation of funnel controller with relative degree one is shown in Fig. 6 using hyperbolic function as given in section 3.1. The response of the cardiopulmonary system is based on a given low tidal volume of  $V_T = 125$  ml, simulating of one lung ventilation for 15 min. To keep the oxygenation in a high level,  $SaO_{2ref} = 99.9\%$  is set for the reference tracking objective. At the beginning,  $SaO_2$  starts to decrease from 95% to 93%, reflecting hypoxia. Simultaneously, the funnel controller acts to maintain the control objective of  $SaO_{2ref} = 99.9\%$  by increasing  $FiO_2$  up to 60%.  $e(t)$  lie inside the prescribed hyperbolic funnel boundary  $\varphi_0(t)^{-1}$ .

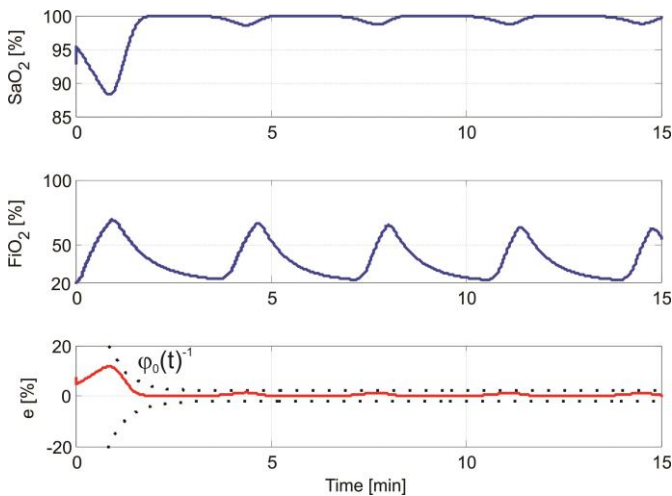


Fig. 7. Dynamical response of funnel control with relative degree one using exponential funnel boundary.

The control performance using exponential boundary also gives an acceptable result to control  $SaO_2$ , shown in Fig. 7. With this alternative, we can see that the transient response is slightly worse than hyperbolic funnel boundary as  $SaO_2$  is below 90% at the early time of funnel control.

##### 4.2 Funnel control performance with relative degree two

The resulting funnel controller as described in section 3.2 with relative degree of two was tested for its control performance for the cardiopulmonary system of Fig. 1 with low  $V_T$ . Figure 8 shows the performance of designed funnel controller under hypoxia where  $V_T = 125$  ml, simulating one lung ventilation for time  $< 15$  min and  $V_T = 75$  ml, simulating an extreme atelectasis condition for time  $\geq 15$  min. Again,  $SaO_{2ref} = 99.9\%$  is set for the reference tracking. At the beginning,  $SaO_2$  starts to decrease from 95% to 92%, reflecting hypoxia and the funnel controller acts to maintain the control objective of  $SaO_{2ref} = 99.9\%$  by increasing  $FiO_2$  up to 80% and gradually reducing its peak cycle to 60% as shown in Fig. 8.

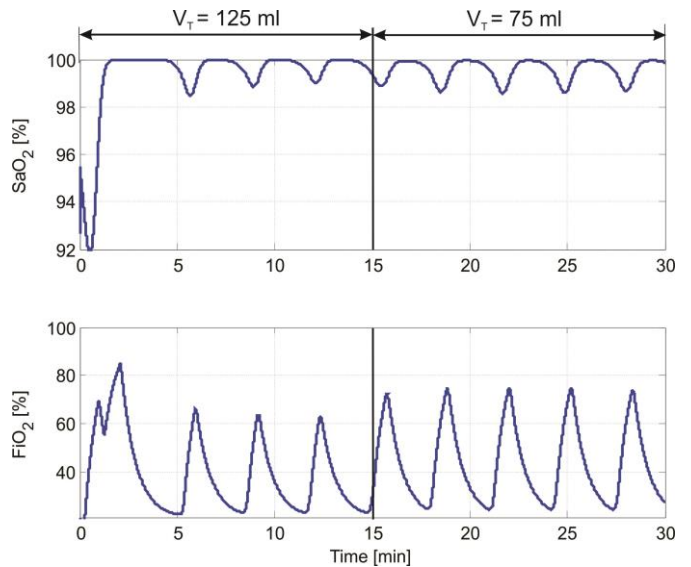


Fig. 8. Funnel control performance under hypoxia with an abrupt change of reduced tidal volume at time = 15 min.

Once an abrupt change from  $V_T = 125$  ml to  $V_T = 75$  ml is introduced to the cardiopulmonary at time  $> 15$  min, the controller performs well to maintain its control objective by rising up the peak of  $FiO_2$  to almost 80%. Based on the simulation, the funnel controller should be able to regulate  $SaO_2$  for the extreme condition of atelectasis. In addition, Fig. 9 shows the evolution of  $e(t)$  and  $\dot{e}(t)$  inside the prescribed hyperbolic funnel boundaries.

#### 5. DISCUSSION

Due to a non-uniform distribution of blood flow into different tissues, the cardiopulmonary model used for this simulation is composed of three main compartments: lung, body and brain. Under hypoxia,  $Q_B$  and  $Q_T$  increase to compensate the poor arterial oxygen saturation ( $SaO_2$ ) based on eq. (1), which reflects the mechanism to cope with impaired oxygenation. In addition, the model can represent a reduction of  $SaO_2$  if  $V_T$  decreases during mechanical ventilation, simulating existing atelectatic region. The simulation results

of the model with  $FiO_2 = 9\%$  give similar results from the literature (Fincham and Tehrani, 1983a). We therefore assume that the mathematical description of this model is valid for our purpose of  $SaO_2$  control and there is no measurement dynamics or measurement error in the study.

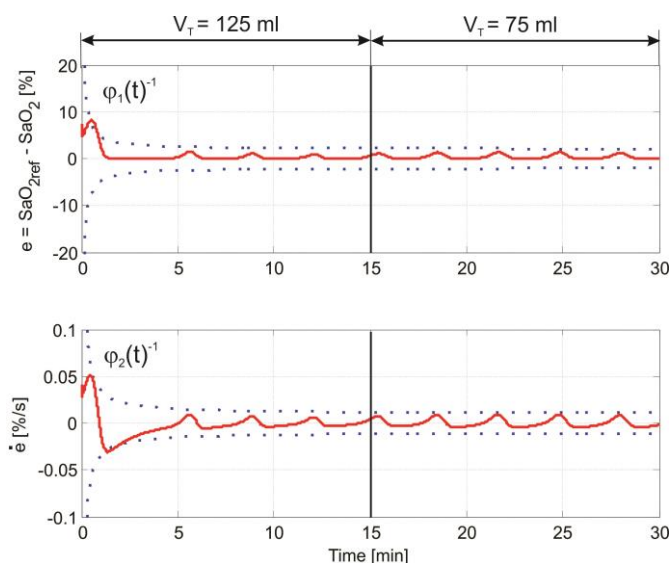


Fig. 9. Error evolution within a designed hyperbolic funnel boundary for a control of  $SaO_2$  using oxygen therapy.

The proposed funnel control of relative degree one and two could satisfy the control objective with an allowable error margin as determined by  $\lambda$  value. However, the controller with relative degree two offers one more degree of freedom to control  $\dot{e}(t)$  or force it within the predefined funnel boundary. The great advantage of this controller is to save time for identification under critical situation, to generate the optimal  $FiO_2$  input for the patient with hypoxia and to avoid hyperoxia that may cause from the treatment. In our application, the perfect tracking performance is not required and this relieves further requirements of control design, for example additional filter design or tuning extended PI controller, which was implemented for speed and position control of servo-system (Hackl *et al.*, 2011).

Our future work will be to implement this control algorithm in order to stabilize and to regulate  $SaO_2$  for mechanically ventilated patient using patient-in-the-loop configuration. From the application perspective, the control strategy may be applied to various clinical scenarios, for example home-based ventilation for senior citizen or for patients with apnea, intensive care unit or anesthesia, which should offer a generalized solution for all patients with no need for individual system identification.

## 6. CONCLUSION

In this article, two funnel controllers based on vertical distance are proposed to control the complex cardiopulmonary system with possible pathophysiological change of atelectasis. A poor oxygenation can be observed from the simulation if air ( $FiO_2 = 0.21$ ) is only given to the subject of low  $V_T$ . Oxygen therapy is therefore required to keep  $SaO_2$  in a high level ( $> 95\%$ ). The controllers of relative degree one and two show good performance to maintain this

control objective by tolerating an error bound including an optimal therapy in avoiding hyperoxia. Based on the simulation result, the designed controllers should provide a practical solution for the control of oxygenation for the plant with nonlinear, time-delay and possible various uncertain factors using this non-identifier based approach. This concept should subsequently be evaluated in real applications.

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